



IPW! 2133

**INFORMATION  
DISCLOSURE STATEMENT  
TRANSMITTAL**

To Commissioner For Patents

Enclosed herewith is a Form PTO-1449, any required copies of documents listed thereon, and any concise explanation of their relevance is indicated below per 37 CFR 1.97.

Application Number	10/528,939
Filing Date	March 23, 2005
First Named Inventor	W. Coene
Group Art Unit	2133
Examiner Name	
Attorney Docket Number	NL 020930

☒ Please charge any required fee under § 1.17(i) or § 1.17(p) or any other required fee (except the issue fee) to Account No. 14-1270.

1. ☐ I certify that these documents were first cited in any communication from a foreign Patent Office in a counterpart foreign application not more than three (3) months ago.
2. ☐ I certify that none of these documents were cited in any communication from a foreign Patent Office in a counterpart foreign application, and, to the knowledge of the undersigned after making reasonable inquiry, none of these documents was known to any individual designated in § 1.56(c) more than three (3) months ago.
- ☐ Applicant hereby petitions under § 1.97(d) that this IDS be considered after final Action or Notice of Allowance, pays the fee under § 1.17(p) as indicated below, and I certify 1. or 2. as indicated above.
- ☐ A fee under § 1.17(p) is not required under § 1.97(c), after the first Action on the merits and more than (3) months after the date of application or RCE, because I certify 1. or 2. as indicated above.
- ☐ A copy of the citations is not required because they were previously submitted or cited in the parent application (or in U.S. patent application Ser. No. \_\_\_\_\_ Filing Date \_\_\_\_\_ relied on for an earlier effective filing date under 35 U.S.C. 120).
- ☐ A copy of the U.S. patent(s) and patent application publication(s) in all U.S. national patent applications filed after June 30, 2003, and in all international applications that have entered the national stage under 35 USC § 371 after June 30, 2003 under 37 CFR 1.491(b), are not required.
- ☐ A concise explanation of the relevance of each non-English document, as understood by the individual designated in § 1.56(c) most knowledgeable about the contents, is enclosed per § 1.98(a)(3).

The concise explanation of the relevance of any non-English document, as understood by the individual designated in § 1.56(c) most knowledgeable about the contents, is that the document is/was:

- ☒ cited in the specification or considered in drafting the specification of this application;
- ☐ previously submitted or cited in the parent application (or in a related patent application Ser. No. \_\_\_\_\_ Filing Date: \_\_\_\_;
- ☒ cited as an "X", "Y" or "A" document in a foreign Patent Office search report in a foreign counterpart application, a copy of which report is also enclosed.

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print Type)	Edward W. Goodman	Registration No. (Attorney/Agent)	28,613
Signature		Date	September 27, 2005

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this is being deposited with the U.S. Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, P.O. BOX 1450 Alexandria, VA 22313-1450, or facsimile transmitted to the U.S. Patent and Trademark Office tel. # \_\_\_\_\_ on the date below:

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**(Use as many sheets as necessary)**

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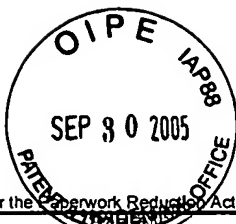
Application Number	10/528,939
Filing Date	March 23, 2005
First Named Inventor	W. Coene
Art Unit	2133
Examiner Name	
Attorney Docket Number	NL020930

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Date Considered	
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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**Complete if Known**

Application Number 10/528,939

Filing Date March 23, 2005

First Named Inventor W. Coene

Art Unit 2133

Examiner Name

Attorney Docket Number NL 020930

Sheet 1

of 1

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	1	S. TAIRA ET AL; "STUDY OF RECORDING METHODS FOR ADVANCED OPTICAL DISKS", TECHNICAL REPORT OF IEICE, 2002-03, PAGES 57-64.	
	2	T. KATO ET AL; "TWO-DIMENSIONAL PARTIAL-RESPONSE EQUALIZATION AND DETECTION METHOD WITH MULTI-TRACK", TECHNICAL REPORT OF IEICE, 2002-03, 65-70.	

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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